

1990-1991	1991-1992	1992-1993	1993-1994	1994-1995	1995-1996	1996-1997	1997-1998	1998-1999	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	2007-2008	2008-2009	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016	2016-2017	2017-2018	2018-2019	2019-2020	2020-2021	2021-2022	2022-2023	2023-2024	2024-2025	2025-2026	2026-2027	2027-2028	2028-2029	2029-2030	2030-2031	2031-2032	2032-2033	2033-2034	2034-2035	2035-2036	2036-2037	2037-2038	2038-2039	2039-2040	2040-2041	2041-2042	2042-2043	2043-2044	2044-2045	2045-2046	2046-2047	2047-2048	2048-2049	2049-2050	2050-2051	2051-2052	2052-2053	2053-2054	2054-2055	2055-2056	2056-2057	2057-2058	2058-2059	2059-2060	2060-2061	2061-2062	2062-2063	2063-2064	2064-2065	2065-2066	2066-2067	2067-2068	2068-2069	2069-2070	2070-2071	2071-2072	2072-2073	2073-2074	2074-2075	2075-2076	2076-2077	2077-2078	2078-2079	2079-2080	2080-2081	2081-2082	2082-2083	2083-2084	2084-2085	2085-2086	2086-2087	2087-2088	2088-2089	2089-2090	2090-2091	2091-2092	2092-2093	2093-2094	2094-2095	2095-2096	2096-2097	2097-2098	2098-2099	2099-2100	2100-2101	2101-2102	2102-2103	2103-2104	2104-2105	2105-2106	2106-2107	2107-2108	2108-2109	2109-2110	2110-2111	2111-2112	2112-2113	2113-2114	2114-2115	2115-2116	2116-2117	2117-2118	2118-2119	2119-2120	2120-2121	2121-2122	2122-2123	2123-2124	2124-2125	2125-2126	2126-2127	2127-2128	2128-2129	2129-2130	2130-2131	2131-2132	2132-2133	2133-2134	2134-2135	2135-2136	2136-2137	2137-2138	2138-2139	2139-2140	2140-2141	2141-2142	2142-2143	2143-2144	2144-2145	2145-2146	2146-2147	2147-2148	2148-2149	2149-2150	2150-2151	2151-2152	2152-2153	2153-2154	2154-2155	2155-2156	2156-2157	2157-2158	2158-2159	2159-2160	2160-2161	2161-2162	2162-2163	2163-2164	2164-2165	2165-2166	2166-2167	2167-2168	2168-2169	2169-2170	2170-2171	2171-2172	2172-2173	2173-2174	2174-2175	2175-2176	2176-2177	2177-2178	2178-2179	2179-2180	2180-2181	2181-2182	2182-2183	2183-2184	2184-2185	2185-2186	2186-2187	2187-2188	2188-2189	2189-2190	2190-2191	2191-2192	2192-2193	2193-2194	2194-2195	2195-2196	2196-2197	2197-2198	2198-2199	2199-2200	2200-2201	2201-2202	2202-2203	2203-2204	2204-2205	2205-2206	2206-2207	2207-2208	2208-2209	2209-2210	2210-2211	2211-2212	2212-2213	2213-2214	2214-2215	2215-2216	2216-2217	2217-2218	2218-2219	2219-2220	2220-2221	2221-2222	2222-2223	2223-2224	2224-2225	2225-2226	2226-2227	2227-2228	2228-2229	2229-2230	2230-2231	2231-2232	2232-2233	2233-2234	2234-2235	2235-2236	2236-2237	2237-2238	2238-2239	2239-2240	2240-2241	2241-2242	2242-2243	2243-2244	2244-2245	2245-2246	2246-2247	2247-2248	2248-2249	2249-2250	2250-2251	2251-2252	2252-2253	2253-2254	2254-2255	2255-2256	2256-2257	2257-2258	2258-2259	2259-2260	2260-2261	2261-2262	2262-
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## SELF-EXPANDING STENT

## **SELF-EXPANDING STENT**

This application claims the benefit of U.S. Provisional Application No. 60/206,211, filed May 22, 2000.

### **FIELD OF THE INVENTION**

The present invention relates to flexible stents that are implanted in a lumen in the body and in particular in blood vessels.

### **BACKGROUND OF THE INVENTION**

Stents are scaffolds which are positioned in diseased vessel segments to support the vessel walls. Stents are used in angioplasty to repair and reconstruct blood vessels. Placement of a stent in the affected arterial segment prevents elastic recoil and closing of the artery. Stents also prevent local dissection of the artery along the medial layer of the artery. Stents may be used inside the lumen of any physiological space, such as an artery, vein, bile duct, urinary tract, alimentary tract, tracheobronchial tree, cerebral aqueduct or genitourinary system. Stents may also be placed inside the lumen of human as well as non-human animals.

In general there are two types of stents: radially, self-expanding and radially, balloon-expandable. The balloon-expandable stent is placed in a diseased segment of a vessel by inserting an unexpanded stent into the affected area within the vessel. The stent is expanded by positioning a balloon inside the stent and inflating the balloon to expand the stent. Inflation remodels the arterial plaque and secures the stent within the affected vessel. One problem with balloon stents is

that the inside diameter of the stent may become smaller over time if the stent lacks expanding resilience. The result of this lack of resilience is that the stent collapses due to the natural elastic recoil of the blood vessel.

In contrast, a self-expanding stent is capable of expanding by itself. There are many different designs of self-expanding stents, including, coil (spiral), circular, cylinder, roll, stepped pipe, high-order coil, cage or mesh. Self-expanding stents are formed from super-elastic metal. See, for example, U.S. Patent No. 6,013,854 to Moriuchi. The self-expanding stent is placed in the vessel by inserting the stent in a compressed state into the affected region, e.g., an area of stenosis. Once the compressive force is removed, the stent expands to fill the lumen of the vessel. The stent may be compressed using a tube that has a smaller outside diameter than the inner diameter of the affected vessel region. When the stent is released from confinement in the tube, the stent expands to resume its original shape and becomes securely fixed inside the vessel against the vessel wall.

Each of the various stent designs that have been used with self-expanding stents has certain functional problems. For example, a stent formed in the shape of a simple circular cylinder does not compress easily. Consequently, insertion of the stent into the affected region of a vessel may be very difficult.

One approach of the prior art stent designs to overcome this problem is to provide a stent formed by zigzag elements as disclosed in U.S. Patent No. 5,562,697 to Christiansen. A stent formed from a zigzag pattern has flexibility in the axial direction to facilitate delivery of the stent, however, this type of stent often lacks sufficient radial strength to maintain patency of the vessel after elastic recoil.

In order to provide increased radial strength of the zigzag design, the zigzag elements may be connected with connection elements. U.S. Patent No. 6,042,597 to Kveen et al. describes a balloon expandable stent formed by a continuous helical element having undulating portions which form peaks and troughs where all of the peaks of adjacent undulating portions are connected by curvilinear elements. Connection elements between each adjacent undulating portion may impair flexibility of the stent.

Another approach is to provide a plurality of interconnecting cells which are in the shape of a diamond or rhomboid as in U.S. Patent No. 6,063,113 to Karteladze et al. or U.S. Patent No. 6,013,584 to Moriuchi. This type of stent has cells which rigidly interlock. Consequently, these types of stents have a comparatively high degree of rigidity and do not bend to accommodate changes in vessel shape.

It will be appreciated that in spite of these disclosures, there is still a great need for a self-expanding stent that overcomes the deficiencies of the prior art stents. Accordingly, the present invention provides a geometric design for a stent that has both a high degree of flexibility and significant radial strength. The design of this stent also allows it to be inserted into small diameter vessels. The stent is further able to respond dynamically to changes in blood pressure.

## SUMMARY OF THE INVENTION

The stent of the invention comprises a self-expanding stent formed from a scaffolding lattice. The stent may be made of a nickel-titanium alloy. The lattice comprises two different types of helices forming a hollow tube which has no free ends. The first type of helix is formed from a plurality of undulations and the second type of helix is formed from a plurality of connecting elements such that the connection elements connect fewer than all of the undulations in adjacent turns of the first helix. The first and second helix proceed circumferentially in opposite directions along the longitudinal axis of the hollow tube. Each undulation is formed from ascending and descending arms connected together at a junction point. The connection element may extend between the junction points lying on adjacent undulations.

In one embodiment, the ends of the stent are formed by a closed circumferential element formed from a plurality of undulations linked by a plurality of connection elements to a transition zone. The transition zone is formed by a plurality of undulations creating a closed loop at one end of the transition zone. The undulations of the transition zone are connected to the undulations which form the first type of helix at the other end of the transition zone. The two ends, the closed loop and the connection between the undulations of the transition zone and the first type of helix, are separated by at least one 360 degree turn. The amplitude of the undulations forming the transition zone increases as the undulations proceed circumferentially from the end forming the closed loop to the end connected to the first helix. The closed circumferential element may be radiopaque.

In another embodiment, the stent comprises a scaffolding lattice having two different types of helices forming a hollow tube having no free ends. The first type of helix is formed from a

plurality of zigzags and the second type of helix is formed from a plurality of connecting elements wherein the connection elements connect fewer than all of the zigzags in adjacent turns of the first type of helix. The first and second types of helices proceed circumferentially in opposite directions along the hollow tube. Each zigzag is formed from ascending and descending arms connected together at a junction point. The connection element can extend between the junction points lying on adjacent zigzags.

The ends of the stent may be formed by a closed circumferential element formed from a plurality of zigzags linked by a plurality of connection elements to a transition zone. In this embodiment, the zigzags are formed by a plurality of zigzags having a closed loop at one end. At the other end, the zigzags connect to the zigzags forming the first helix. The two ends of the transition zone are separated by at least one 360 degree turn. The amplitude of the zigzags forming the transition zone increases as the zigzags proceed circumferentially from the end forming the closed loop to the end connected to the first helix.

In a third embodiment, the self-expanding stent comprises at least one continuous first helical element having no free ends. The first helical element is formed from a plurality of zigzags. The second helical element is formed from a plurality of connection elements such that the connection elements connect fewer than all of the zigzags in adjacent turns of the first helix. Both the first and second helix proceed circumferentially in opposite directions to form a scaffolding lattice in a tubular shape. The connection elements connect two peaks lying on adjacent zigzags.

## BRIEF DESCRIPTION OF THE FIGURES

Figure 1 shows a three dimensional side perspective view of the stent.

Figure 2 shows a close-up side perspective view of the stent shown in Figure 1.

Figure 3 shows an enlarged side perspective view of several zigzag elements.

Figure 4 shows a flattened perspective of the stent where the tube of the stent has been cut down the longitudinal axis and the stent laid flat.

Figure 5 shows the scaffolding lattice of the stent in a flattened perspective where the tube of the stent has been cut down the longitudinal axis and the stent laid flat.

Figure 6 shows a three-dimensional side perspective of the stent illustrating the scaffolding lattice.

Figure 7 illustrates the closed circumferential element and the transition zone.

Figure 8 shows a three-dimensional perspective of the scaffolding lattice of the stent formed by the two types of helices.

Figure 9 shows a cutaway perspective of the stent in Figure 8.

Figure 10 illustrates how the stent contracts along the longitudinal axis.

Figure 11 illustrates how the stent expands along the longitudinal axis.

## DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to a self-expanding stent. A stent means any medical device which when inserted into the lumen of a vessel expands the cross-sectional lumen of that vessel. The stent of the invention may be deployed in any artery, vein, duct or other vessel such as a ureter or urethra. The stents may be used to treat narrowing or stenosis of any artery, including, the coronary, infrainguinal, aortoiliac, subclavian, mesenteric or renal arteries.

The term "undulation" refers to the bends in elements forming the first type of helix in the stent. Undulations may be formed in a sinusoidal, zigzag pattern or similar geometric pattern.

The stent comprises a hollow cylindrical member having no free ends and a wall surface. The wall may have a substantially uniform thickness. In the compressed state, the stent has a first diameter. This compressed state may be achieved using a mechanical compressive force. The compressed state permits intraluminal delivery of the stent into a vessel lumen. The compressive force may be exerted by means of a sheath in which the compressed stent is placed. In the uncompressed state, the stent has a second variable diameter which it acquires after withdrawal of the compressive force such as that applied by the sheath. Upon withdrawal of the compressive force, the stent immediately expands to provide structural support for the vessel.

The stent is formed from a hollow tube made of super elastic metal. Notches or holes are made in the tube forming the elements of the stent. The notches and holes can be formed in the tube by use of a laser, e.g., a YAG laser, electrical discharge, chemical etching or mechanical cutting. As a result of this type of processing, the stent comprises a single piece that lacks any abrupt change in the physical property of the stent such as that which would result from welding.



The formation of the notches and holes to prepare the claimed stent is considered within the knowledge of a person of ordinary skill in the art.

The wall of the stent comprises a scaffolding lattice, where the lattice is formed from two different types of helices. The stent is a hollow tube that has no free ends. The scaffolding lattice uniformly supports the vessel wall while maintaining deployed flexibility. This design further allows the stent to conform to the shape of the vessel. The first type of helix is formed from a plurality of zigzag elements continuously linked together and the second type of helix is formed from a plurality of connection elements in series with the zigzag elements. The connection elements connect fewer than all of the zigzags in adjacent turns of the first type of helix. The first and second types of helices proceed circumferentially in opposite directions along the longitudinal axis of the hollow tube.

Figure 1 shows a three dimensional side perspective view of the claimed stent. One part of the scaffolding lattice is formed from a first type of helix composed of a plurality of zigzag elements. The features of this type of helix are shown as numbers 1-33. Each number represents one 360 degree turn of the helix formed by the zigzag elements. Adjacent turns of the helix are formed by the zigzag elements. The following lists the pairs of adjacent turns illustrated in Figure 1: 1-2, 2-3, 3-4, 4-5, 5-6, 6-7, 7-8, 8-9, 9-10, 10-11, 11-12, 12-13, 13-14, 14-15, 15-16, 16-17, 17-18, 18-19, 19-20, 20-21, 21-22, 22-23, 23-24, 24-25, 25-26, 26-27, 27-28, 28-29, 29-30, 30-31, 31-32, 32-33, and 33-34. Number 34 represents the lumen of a blood vessel where the stent has been placed.

The second type of helix is formed by the connection elements. Adjacent turns of the helix formed by the zigzag elements are connected by at least one connection element. These

connection elements are illustrated in Figure 2 which shows a close-up side perspective view of the stent illustrated in Figure 1. Adjacent turns of the helix are formed by the zigzag elements and are listed as follows in Figure 2: 22-23, 23-24, 24-25 and 25-26. The adjacent turns are connected by connection elements. For example, adjacent turns 22 and 23 are connected by connection elements 27 and 31; adjacent turns 24 and 25 are connected by connection elements 28 and 30; and adjacent elements 25 and 26 are connected by connection element 29. The number of connection elements connecting two adjacent turns of the helix formed by the zigzag elements varies from two in each 360 degree turn of the first type of helix to four in each 360 degree turn. In some embodiments, the number of connection elements may be greater than four. In all embodiments, the number of connection elements connecting adjacent turns of the helix is less than the number of zigzags in one 360 degree turn of the helix.

Zigzag elements are formed by ascending and descending arms having a junction point. This is illustrated in Figure 3 which shows an enlarged side perspective view of several zigzag elements. The ascending and descending arms of one zigzag element in one turn of the helix formed by the zigzag elements are shown as 32 and 33, respectively, and the ascending and descending arms of a zigzag in an adjacent turn of the helix are shown as 35 and 36, respectively. Each of the zigzag elements is connected at a junction point, 34 and 37, by a connection element 38.

Thus, as illustrated by Figures 1 through 3, the scaffolding lattice of the stent is formed by two different types of helices. The first type of helix is formed from the zigzag elements. The second type of helix is formed by the connection elements. This type of helix is further illustrated in Figure 4 which shows a flattened perspective of the stent where the tube of the stent has been

cut down the longitudinal axis of the tube and laid flat. Two helical elements formed by the connective elements 39 and 40 are shown by highlighting in Figure 4. The helical element formed by the connection elements comprises in series a connection element 41 linked directly to the descending 42, ascending 43 and descending 44 arms of the zigzag elements. The descending arm 44 is then linked to connection element 45 which in turn is linked to the descending 46, ascending 47 and descending 48 arms of the zigzag elements. This pattern is repeated throughout the body of the stent forming the second type of helix. The number of helices formed by the connection elements is determined by the number of connection elements connecting adjacent turns. The flexibility of the stent in a compressed as well as in a deployed state may be altered by varying the number of connection elements in each 360 degree turn of the helix formed by the zigzag elements. In general, the fewer the number of connection elements in each 360 degree turn of the helix formed by the zigzag elements the more flexible the stent and conversely the greater the number of connection elements in each 360 degree turn of the helix formed by the zigzag elements, the more rigid the stent. In contrast, the stent described in U.S. Patent No. 6,042,597 to Kveen et al. has connection elements connecting every peak in adjacent undulations rendering it comparatively rigid.

In Figure 5, the scaffolding lattice is illustrated in a flattened perspective where the tube of the stent has been cut down the longitudinal axis and the stent laid flat. The figure shows only a portion of the body of the stent. The helix formed by the zigzag elements is shown as 49 – 58 and the helix formed by the connection elements in series with the zigzag elements is shown as 59 – 63. The helix formed by the zigzag elements 49-58 proceeds circumferentially in an opposite direction along the longitudinal axis of the stent 64 from the helix formed by the connection

elements in series with the zigzag elements 59-63. The scaffolding lattice formed by the two different types of helices is further illustrated in Figure 6 which shows a three dimensional side perspective of the stent. The helix formed by the plurality of zigzag elements is shown as 65-75. The helix formed by the connection elements in series with the zigzag elements is shown as 76-80. Together, the two different types of helices form the scaffolding lattice.

The ends of the stent may be formed by a closed circumferential element 81 composed of a plurality of zigzags linked by a plurality of connection elements 90-92 to a transition zone 82. The closed circumferential element and the transition zone are illustrated in Figure 7. The transition zone 82 is formed by a plurality of zigzags which form a closed loop at one end 89 and connect to the helix formed by the continuous zigzags 83-87 at the other end 88. The two ends of the zigzag elements forming the transition zone are separated by at least one 360 degree turn of the helix formed by the zigzag elements. The amplitude of the zigzags forming the transition zone increases as the zigzags proceed circumferentially from the end forming the closed loop 89 to the end connected to the first type of helix 88. The closed circular circumferential element may be radiopaque as described in U.S. Patent No. 6,022,374 to Imran, incorporated herein in its entirety by reference.

In another embodiment, the transition zone may be used to link two stent segments having different internal diameters, where one segment is linked directly to the transition zone and the other segment is linked by connection elements to the other segment. This type of design allows the stent to conform to anatomical vessels having different diameters.

The scaffolding lattice of the stent formed by the two different types of helices is further illustrated in Figure 8. The helix formed by the continuous zigzag elements is shown as 93. The

helix formed by the connection elements is shown as 94. As is shown by the figures, the two different types of helices form a dual helical scaffolding lattice across the body of the stent. A cutaway perspective of the stent in Figure 8 is shown in Figure 9. The lumen of the blood vessel in which the stent is implanted is 95.

The scaffolding lattice uniformly supports the vessel wall while maintaining flexibility in a deployed state. This scaffolding lattice confers an anti-crushing property, such that when the stent is crushed radially the stent is capable of rapidly reestablishing its non-crushed state after the crushing force is removed. The scaffolding lattice also allows the stent of the invention to respond dynamically to physiological changes in the blood vessel such as longitudinal shrinkage of the vessel due to elastic recoil or vasoconstriction. Figure 10 illustrates how the stent contracts along the longitudinal axis 99. The stent 96 rotates clockwise 97. This results in contraction of the stent along the longitudinal axis 99. During longitudinal contraction 99, the stent maintains its un-contracted diameter 98. When the stent is rotated in the opposite direction counterclockwise 101, the stent 102 expands in a longitudinal direction 100 (see, Figure 11 which illustrates expansion along the longitudinal axis). This expansion and contraction ability allows the stent to pulsate in response to changes in blood pressure. This dynamic response also prevents the stent of this invention from straightening the vessel in a non-physiological manner which can result in late term restenosis over the stented segment.

### **Composition and Formation of the Stent**

The metal composition and process of formulating the stent is disclosed in U.S. Patent No. 6,013,854 incorporated herein in its entirety by reference. The super elastic metal for the stent is

preferably a super elastic alloy. A super elastic alloy is generally called "a shape-memory alloy" and resumes its original shape after being deformed to such a degree that an ordinary metal undergoes permanent deformation. Super elastic alloys useful in the invention include:

Elgiloy.RTM. and Phynox.RTM. spring alloys (Elgiloy.RTM. alloy is available from Carpenter Technology Corporation of Reading Pa; Phynox.RTM. alloy is available from Metal Imphy of Imphy, France), 316 stainless steel and MP35N alloy which are available from Carpenter Technology corporation and Latrobe Steel Company of Latrobe, Pa., and superelastic Nitinol nickel-titanium alloy which is available from Shape Memory Applications of Santa Clara, Calif.

See, U.S. Patent No. 5,891,191 to Stinson, incorporated herein in its entirety by reference.

The stent may be made, for example, by forming a pipe of a super elastic metal and then removing the parts of the pipe where the notches or holes are to be formed. As a result, the stent comprises a single piece without having any abrupt change in the physical property of the stent as would result from welding. The notches and holes can be formed in the pipe by laser (YAG laser, for example), electrical discharge, chemical etching, mechanical cutting, or a combined use of any of these techniques. See, U.S. Patent No. 5,879,381 to Moriuchi et al., incorporated herein in its entirety by reference.

Having described several different embodiments of the invention, it is not intended that the invention is limited to such embodiments and that modifications and variations may be effected by one skilled in the art without departing from the spirit and scope of the invention as defined in the claims.